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## AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Steven B. Smith et al.

Docket No.

9311.16

Serial No.

09/829,364

Filing Date

April 9, 2001

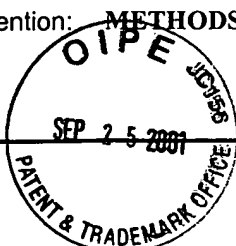
Examiner

not assigned

Group Art Unit

not assigned

Invention: METHODS AND SYSTEMS FOR JOB-BASED ACCOUNTING

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	65 -	65 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	9 -	9 =	0 x	\$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Dated: Sept. 21, 2001

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I certify that this document and fee is being deposited on Sept. 21, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT APPLICATION  
Docket No.: 9311.16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
	Steven B. Smith et al.	)
Serial No.:	09/829,364	)
Filed:	April 9, 2001	)
For:	METHODS AND SYSTEMS FOR JOB-BASED ACCOUNTING	)

PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.112

BOX: AMENDMENT -- NO FEE  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

On page 2 please amend the above-referenced application as shown in the replacement paragraphs below.

## **BACKGROUND OF THE INVENTION**

### **1. Related Application**

5 This application claims priority to both United States Provisional Application Serial No. 60/226,187, filed 18 August, 2000, entitled, *Methods and Systems for Job Based Accounting* to Steven B. Smith et al. and claims priority to U.S. Provisional Application No. 60/198,961 filed April 21, 2000, entitled *Method and System for Managing Spending Through Account Allocation* to Steven B. Smith et al., hereby incorporated by reference.

### **2. Field of the Invention**

10 The present invention relates generally to computer-based resource management systems, and, more particularly, to the job-based management of a business' financial resources through the allocation of resources to various user-defined accounts in an automated environment.

### **3. Background**

15 Often individuals or businesses need and desire to achieve some measure of control over their financial affairs. Several software accounting programs have been developed to aid a business in managing its financial resources. Some of these programs include a budgeting  
20 system that allows a business to see where its money is invested or spent. Some of these programs further provide the user with the ability to perform several types of financial transactions on-line, including the ability to pay bills and to receive updated information with respect to cleared transactions from financial institutions such as banks and credit card companies.